

Dinoflagellates Important Marine Producers of Natural Bio-Compounds with High Biotechnological and Pharmacological Potential

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Received: May 11, 2020

Accepted: July 13, 2020

Published: July 15, 2020

Citation: Ferrara L. 2020. *Dinoflagellates* Important Marine Producers of Natural Bio-Compounds with High Biotechnological and Pharmacological Potential. *J Food Chem Nanotechnol* 6(3): 138-149.

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Abstract

Microalgae are unicellular photosynthetic organisms that can produce organic carbon from CO₂ using sunlight energy and for their ability to fix atmospheric carbon in organic compounds are considered primary producers in the marine food chain, plankton. The various aquatic species that feed on plankton can represent, due to the incidence of numerous factors, the basis for the proliferation of pathogenic microorganisms and the production of biotoxins, known as phycotoxins, which have attracted the attention of researchers not only for their complex chemical structure and for various pharmacological activities (cytotoxic, anti cancer, antifungicide, antibiotic, etc...), but also for their ability to modify and activate important metabolic pathways. These biomolecules, thanks to the remarkable reproductive speed, formed the substrate to study and understand complex cellular functions, with important effects on human health. *Dinoflagellates* are microscopic, unicellular, flagellated algae, which represent one of the most important groups of marine phytoplankton and freshwater and in addition to the Red Tide phenomenon are responsible for the production of highly toxic biotoxins. Important human poisonings occur as a result of the ingestion of bivalve shellfish due to their ability to filter water and, consequently, accumulate pollutants in their body. Some microalgae are able to produce ichthyotoxins that, by acting on gills, can cause prolonged death of fish, and which, if consumed by humans, can lead to serious health risks. *Dinoflagellates* have attracted the attention of researchers because of the possibility of beneficial use of their metabolites: glycolipids containing polyunsaturated fatty acids are considered molecules responsible for allelopathic effects and therefore these algae are exploited by agronomists in the cultivation of terrestrial plants. *Tetradotoxin*, although a very toxic molecule, has proven to be important for knowledge of nerve transmission; exerts anesthetic action to block sodium channels; relieves cranial symptoms in cases of heroin withdrawal. *Goniautoxins* have been shown to be a safe and effective therapeutic tool as a painkiller as they can be taken for long periods without showing unwanted side effects. *Amphidinolids* show in vitro a strong cytotoxicity to L1210 murine lymphoma and human epidermal carcinoma cells. The components of these algae also have antibacterial antimicrobial, antioxidant, protect against UV radiation, and for the richness of functional foods, including docosahexaenoic acid can also be used for children's products.

Keywords

Dinoflagellates, Marine toxins, Diarrhoeic shellfish poisoning, Pharmacological activity

Introduction

Fish products are a food of considerable nutritional power, but they can

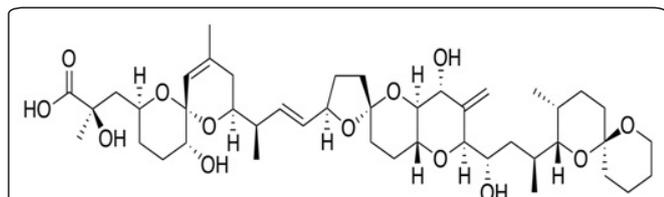


Figure 2: Okadaic Acid: a polyketide, polyether derivative of a C₃₈ fatty acid.

New poisoning syndromes resulting from *Dinoflagellate* toxins have recently been characterized due to the presence in addition to the *Okadaic acid* of other substances, *Azaspiracid* (C₄₇H₇₁NO₁₂), *Yessotoxin* (C₅₅H₈₂O₂₁S₂) and *Palitoxin* (C₁₂₉H₂₂₃N₃O₅₄) (Figure 3-5), compounds that have different toxicological effects and mechanisms of action. Such toxins can be functionally classified as neurotoxins and hepatotoxins, based on their clinical symptoms. Their neurotoxicity is mediated by different and highly specific interactions with the ion channels involved in neurotransmission.

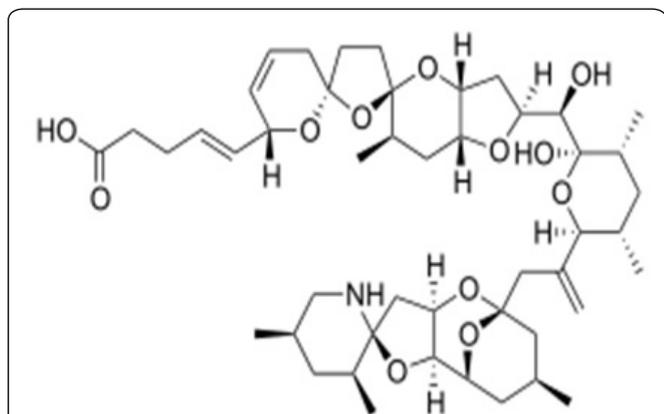


Figure 3: Azaspiracid: a polycyclic ether marine algal toxins produced by *Azadinium spinosum*.

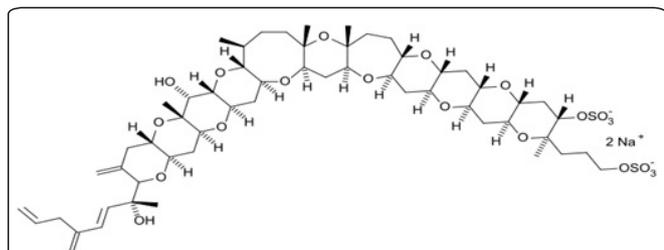


Figure 4: Yessotoxin: a sulfate marine polyether toxin.

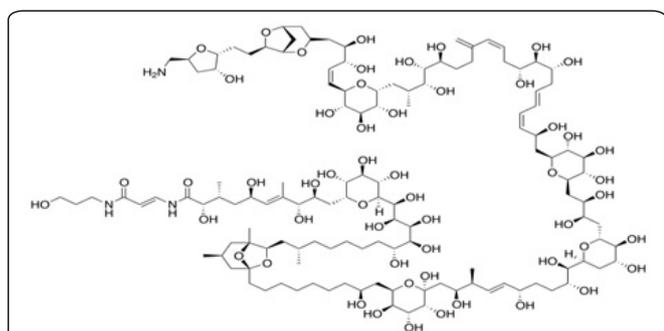


Figure 5: Palitoxin: a polyhydroxylated and unsaturated compound by *Ostreopsis* dinoflagellates.

In fact, in addition to *Okadaic acid*, responsible for DSP syndrome is *Prorocentrum minimum* (Figure 6) an algae that contains *Venerupin*, a substance of which the structure is not yet known, but harmful for the liver, which has caused shellfish poisoning resulting in gastrointestinal diseases in the humans. This species, in addition to being responsible for many deaths among humans, is responsible for the remarkable killings of shellfish in Japan, in the Gulf of Mexico, in Florida [12-14]. This algae, in addition to promoting very large red tides due to its high productivity, is also very resistant to changes in temperature and salinity. It has recently been found in the Mediterranean Sea and in particular along the coasts of Adriatic Sea.

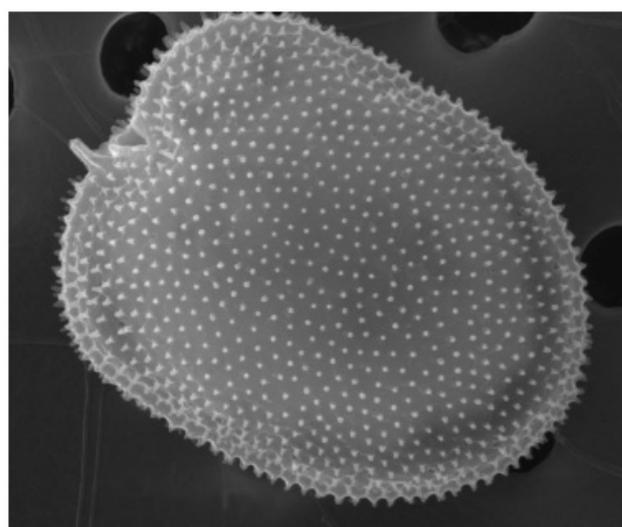


Figure 6: *Prorocentrum minimum*.

Venerupin is a molecule that exhibits hepatotoxic activity and is responsible for VSP (*Venerupin* Shellfish Poisoning) syndrome. Disorders of this toxin are both gastrointestinal and neurological, but not paralyzing, caused by the ingestion of oysters and clams. Poisoning is characterized by a long incubation 24-48 hours followed by a sudden onset of symptoms: nausea, vomiting, diarrhea, headache, loss of appetite and agitation. In severe cases, liver dysfunction, delirium, liver coma, death can occur [15, 16].

Azaspiracid poisoning (AZA), a group of marine algal toxins first reported by the Netherlands, was caused by the presence of *Dinoflagellate Azadinium spinosum*, which can accumulate in crustaceans and thus cause disease in humans. *Azaspiracid* is a polyether poliotoxin that inhibits ion channels of potassium with tension. Shellfish contaminated with AZA as a result of ingestion can cause severe acute symptoms including nausea, vomiting, diarrhea and stomach cramps [17].

AZAs can contaminate various organisms including: scallops oyster mussels, clams, sponges and crabs and through these vector organisms, enter the human food chain, thus posing a potential risk to public health. Oysters are currently the only ones able to accumulate toxin at levels comparable to mussels, the species that accumulates more and the only one that has so far generated intoxication. Toxins can remain

in shellfish for more than eight months because, although hepatopancreas is the first site where they accumulate, they migrate to other tissues in the body where detoxification occurs more slowly [18].

The structure of AZA was first unveiled in 1998 by Satake's group and collaborators, following their isolation from Irish mussels (*Mytilus edulis*). The structure included: a cyclic aminic group (aza), a three-ring spiranic group (spiro) and a terminal carboxylic residue (acid), hence the name "azaspiracid" [19, 20].

Studies of acute oral toxicity were performed using partially purified toxin extracts administered in mice through gastric probe. Mice treated with a dose six times higher than the lethal dose showed no symptoms of poisoning or lethality within 24 hours of treatment, but autopsies of mice sacrificed after 4 hours after treatment showed changes at the gastrointestinal level, with fluid buildup in the ileo and microvilli necrosis: this clinical picture is similar to chronic inflammatory bowel disease, like Crohn's disease. It was noted that the toxin was absorbed dose-dependent and the highest concentrations were detected after 24 hours in the kidneys, spleen and lungs, followed by those in the liver and heart. After one week AZA levels had dropped significantly in all organs except the kidneys [21].

Several studies have shown the action of AZAs also on voltage-dependent ion channels: they in fact alter the flow of intracellular calcium [22-25]; protonic homeostasis [26], causing cell membrane hyperpolymerization [27].

The study of toxins produced by *Dinoflagellates* has shown that the most representative class of substances is polyethers. *Protoceratium reticulatum* and *Lingulodinium polyedrum* (Figure 7 and 8) are two species of *Dinoflagellates* responsible for the production of *Yessotoxins*, that are substances that show toxicity to both the heart and the liver and pancreas, digestive organs.

Brevetoxin B, the first toxic compound of which structure was defined exactly by crystallographic analysis, has been



Figure 8: *Lingulodinium polyedrum*.

isolated from the *Dinoflagellate* *Gymnodinium breve* (Figure 9). Its toxicity is due to the stimulation of the nerve fibers that act on sodium channels by increasing the entry of the Na ion by depolarizing the neuronal membrane and inhibition of skeletal muscle activity; if taken for aerosol, due to the presence in the air of droplets of sea water polluted by algae, it produces irritation of airways with rhinorrhea, conjunctivitis and cough [28]. Oysters and mussels are the most infected for the filtering action of seawater and the accumulation of the toxin in the hepatopancreas. The toxin is also resistant to the temperature of 120 °C and to pH values between 2 and 10; the lethal dose for fish is between 0.2-0.5 mg/kg.



Figure 9: *Gymnodinium breve*.

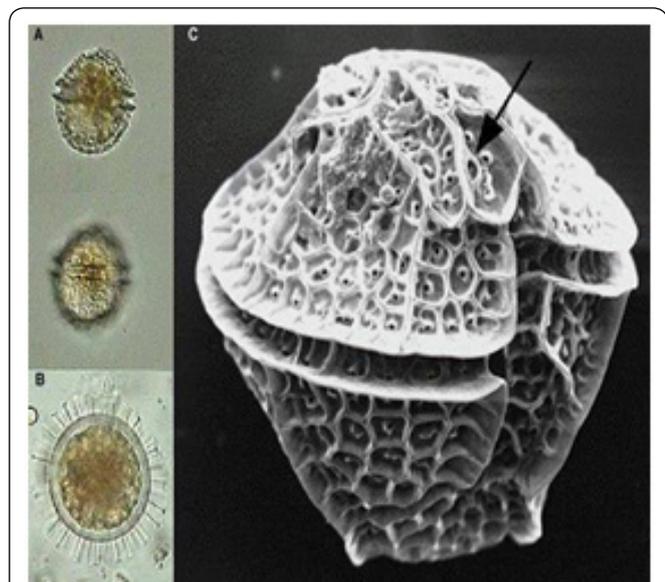


Figure 7: *Protoceratium reticulatum* and cysts.

Pfiesteria piscicida (Steidinger & Burkholder) (Figure 10) is a species of unicellular algae belonging to the *Dinoflagellates*, and is responsible for the phenomenon of Red Tides and the death of many fishes and shellfish. It has only been described since 1990 as it can occur in very complex life forms that include cysts, amoeboid forms and toxic zoospores [29, 30].

Ingestion of fish infected with *Pfiesteria piscicida* can cause nausea, vomiting, abdominal pain, diarrhea, neurological symptoms, psychiatric alteration, eye irritation, skin lesions [31, 32]. Intoxication occurs both through direct contact with fish and polluted water and by aerosol containing toxic particles.

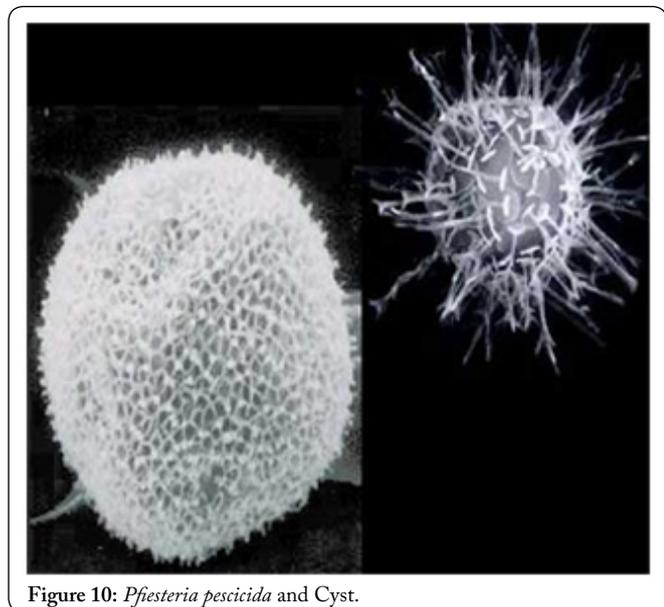


Figure 10: *Pfiesteria piscicida* and Cyst.

Toxicity depends on various factors related to both the polluted environment, salinity, temperature, light, nutrients, and the stage of life (free, amoeboid, spores) and the presence of prey. Currently there are no specific tests to determine the presence of toxins except biological tests on fish using, in particular, tilapia [33].

Pharmacological activity of biomolecules

The seas and oceans have always been considered very important for the research of new drugs from marine organisms: toxins, in fact, are structurally made up of complex molecules with multiple functional groups, each of which is equipped with biological activity and can be applied in the pharmaceutical sector. Many studies have been undertaken to identify the presence of new molecules with anticancer, antibiotic, analgesic, antispasmodic, hypotensive, antiviral activity.

Dinoflagellates are unicellular microalgae found in plankton that contain molecules with pharmacological activity, although their notoriety is linked to the presence of biotoxins that make seafood unsafe for health [34]. In recent years, interest has increased in new pharmacologically active biocompounds for use in the biotechnology and microalgae are primary producers that, due to their high productivity, provide at low cost various substances very useful for human health, being able to be used both as medicines and for applications in the biomedical and toxicology sector [35].

Tetradotoxin ($C_{11}H_{17}N_3O_8$) (Figure 11), for example, because of its high toxicity, has never been utilized as a drug, but as pharmacological reagent. It is extracted from the fish of the *Tetradontidae* family known as “puff fish”, since it is not possible to extract from from the only *Dinoflagellate*

that produces it, *Alexandrium tamarense*. It causes paralysis of peripheral nerve endings due to inhibition of sodium permeability to nerve membranes. This paralysis is reversible, and Tetrodotoxin has proved to be an important indicator for the study of the transmission of nerve arousal [36]. *Tetradotoxin* is really a powerful and selective drug, with an analgesic/ anaesthetic effect associated with its sodium channel blocking properties. An increase in the activity of live sodium channels is reported in many forms of carcinoma and is an indicator of metastasis [37]. The use of *Tetradotoxin* to block sodium canal activity not only reduces the presence of metastases, but highlights the pathway to finding new drugs that act like toxin, but are less dangerous [38, 39]. *Tetradotoxin* has also been used with minor side effects to relieve cranial symptoms in cases of heroin withdrawal [40].

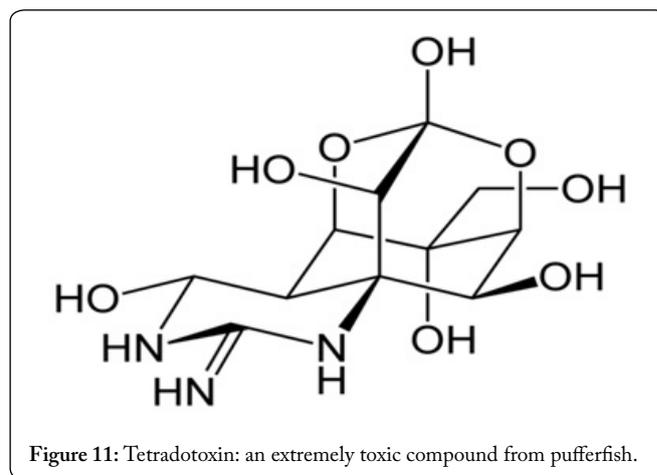


Figure 11: Tetradotoxin: an extremely toxic compound from pufferfish.

In many marine *Dinoflagellates*, such as *Alexandrium catenella* and *Alexandrium tamarense* (Figure 12 and 13) there are phytotoxins that accumulate in molluscs and the intake of these causes paralyzing symptoms and even death for humans. The mechanism of action of these phytotoxins was studied, highlighting that their toxicity is due to a blockage of neuronal transmission influencing the permeability of sodium in nerve cells. Researchers have highlighted the group of *Goniautotoxins* ($C_{10}H_{17}N_7O_8S$) (Figure 14) among the paralyzing toxins and have demonstrated their action as scarring and painkillers. They have shown that local applications of small amounts of paralyzing toxins produce a reversible paralysis of the striatum muscle, which turns out to be dependent dose. Patients with chronic tension-type headache were infiltrated locally with 50 mg of *Goniautotoxins* at the site where the pain was present and after a few minutes they showed a clear attenuation of pain [41]. Patients did not need to use other drugs and no adverse side effects were reported, and no second infiltration was carried out over a long period of about eight weeks, so the use of *Goniautotoxins* proved to be a safe and effective therapeutic tool as a painkiller.

Patients treated with an infiltration of *Goniautotoxins* during a surgery of arthroplasty in the knee showed a greater decrease in pain than those treated with conventional pain protocol, purchasing early the complete extension of the knee. No adverse effects were reported during the three-day hospital stay, during which patients did not experience any pain from the prolonged action of the *Goniautotoxins* [42].

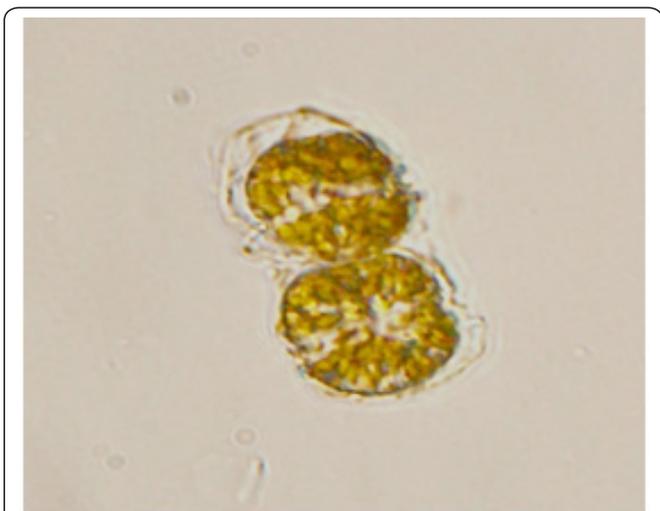


Figure 12: *Alexandrium catenella*.

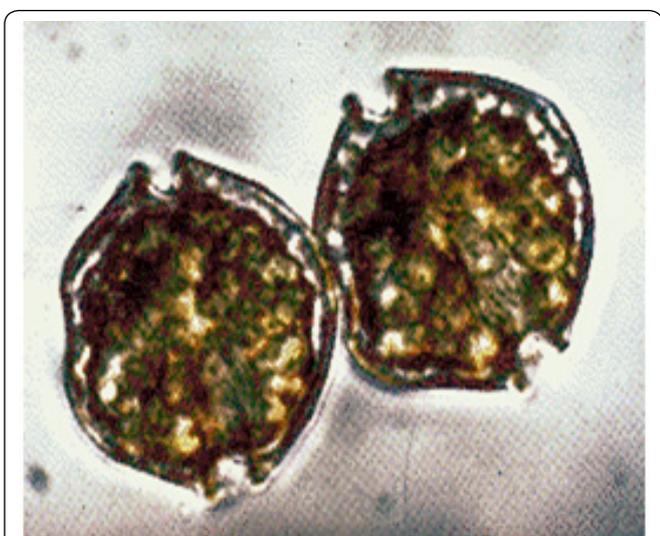


Figure 13: *Alexandrium tamarense*.

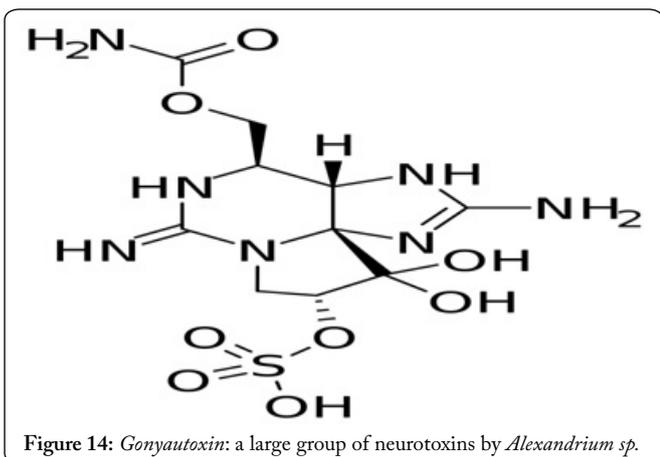


Figure 14: *Gonyautoxin*: a large group of neurotoxins by *Alexandrium* sp.

Gastrointestinal pathology is a very complex form, the onset of which is little known. Visceral pain is a characteristic symptom of functional disorders such as irritable bowel syndrome and inflammatory bowel disease that afflict many individuals around the world. The use of drug therapies

often does not get good results for the lack of effectiveness and to cause many unwanted adverse effects. Scientists have recognized some receptor sites of the perception of painful sensations on which new biologically active natural compounds could more effectively act. Marine toxins represent, in fact, high affinity and selectivity to different molecular mediators of visceral pain, acting in particular on ion channels and receptors involved in pain generation [43]. Their use is very useful for studying the properties of ionic channels and receptors involved in pain perception, improving knowledge of their pathophysiological properties. A major disadvantage is that the toxins have low oral bioavailability, so injecting is required, which is generally unwelcome to the patient, high production costs and low conservation stability.

Dinoflagellates also produce many cytotoxic and/or long-chain polychetid macrolide: *Amphidinolids* (Figure 15) and *Cholopsinols* that are products of the genus *Amphidinolids*. The *Amphidinolids* show strong cytotoxicity towards cells L1210 murine lymphoma and *in vitro* epidermal human carcinoma; in particular, a N-type macrolide ampidinolide has been isolated from *Amphidinolids operculatum* var. *November Gibbosum* (Figure 16). The metabolic extract of a variety of *Dinoflagellate* cells such as *Amphidinolids carterae* (Figure 17), highlighted hemolytic, antifungal and cytotoxic properties, particularly towards *Candida albicans* (MIC = 64 µg/mL) [44-46].

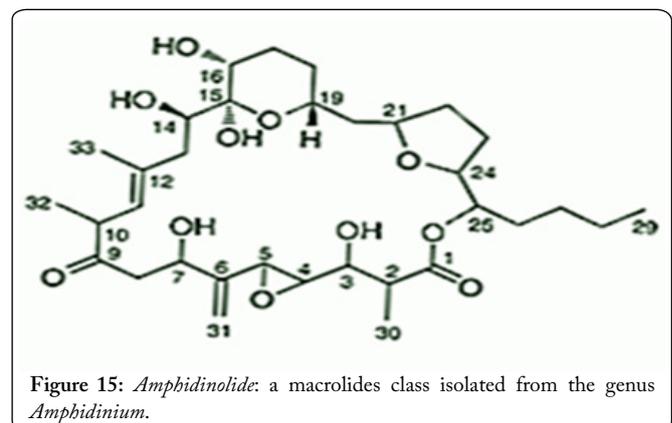


Figure 15: *Amphidinolide*: a macrolides class isolated from the genus *Amphidinium*.

The genus *Karenia* consists of unicellular, photosynthetic, planktonic organisms found in marine environments known mainly for their dense blooms of toxic algae and red tides that cause considerable ecological and economic damage causing serious animal mortality. *Karenia brevis* (Figure 18) is known to cause respiratory distress and poisoning in humans by neurotoxic crustaceans to build up toxins in tissues [47]. *Karenia brevis* (Figure 18) is found all over the world in oceanic and coastal waters and when algal blooms are formed and the availability of nutrients decreases, the genus *Karenia* begins to die releasing their neurotoxins that are destructive to the nervous system. Toxins characterized as *Brevetoxins* (C₅₀H₇₀O₁₄) (Figure 19) are liposoluble and act by activating the tension-sensitive sodium channels and causing them to stay open for long periods of time with uncontrolled depolarization of the neural membrane and persistent neuronal arousal [48-50].

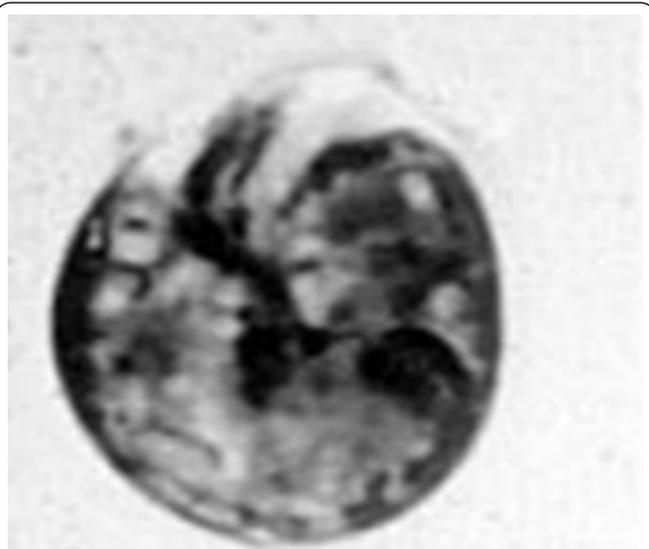


Figure 16: *Amphidinium opercolatum*.

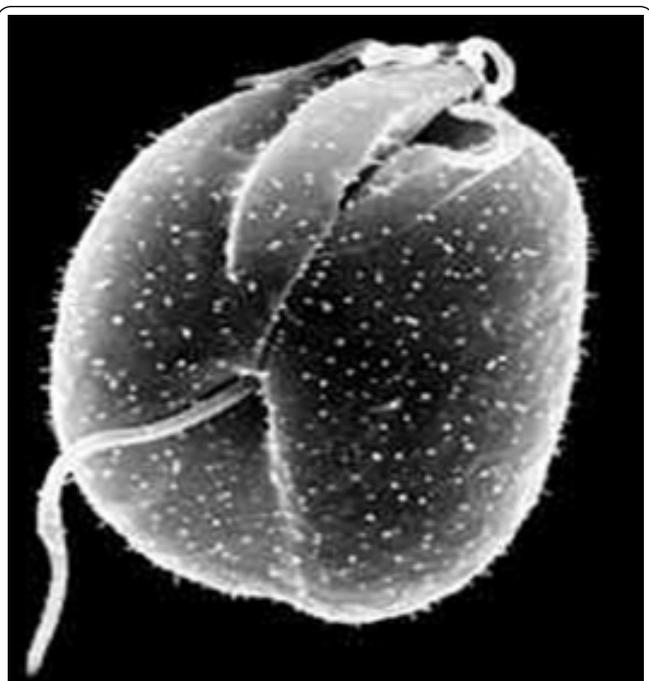


Figure 17: *Amphidinium carterae*.

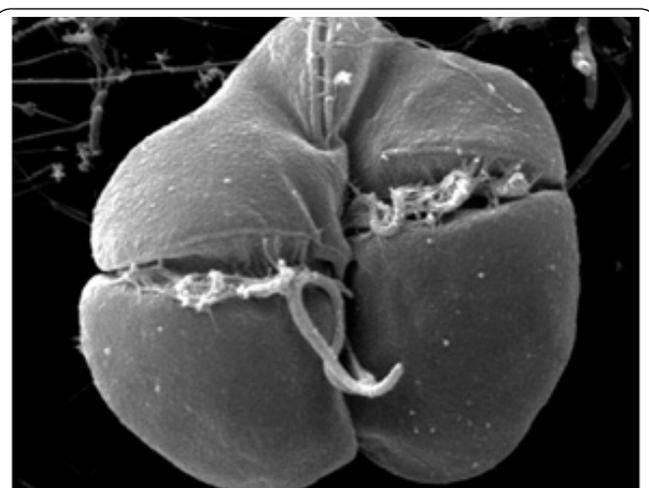


Figure 18: *Karenia brevis*.

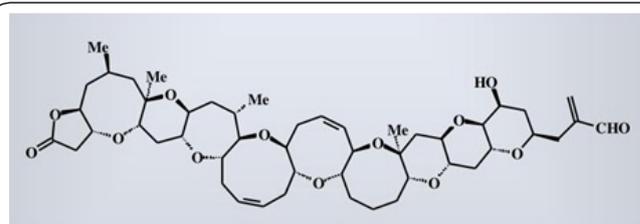


Figure 19: *Brevetoxins*: cyclic polyether compounds produced by *Karenia brevis*

No deaths have been recorded in association with *Brevetoxins*, but serious effects have been noted, such as nausea, vomiting and a variety of neurological symptoms, confused language, skin irritation directly exposed to water, irritation ocular. Exposure to *Brevetoxins* occurs by ingestion or inhalation: *Karenia brevis* cells are weak, so the action of the waves can break the cells, releasing the *Brevetoxins* as aerosols.

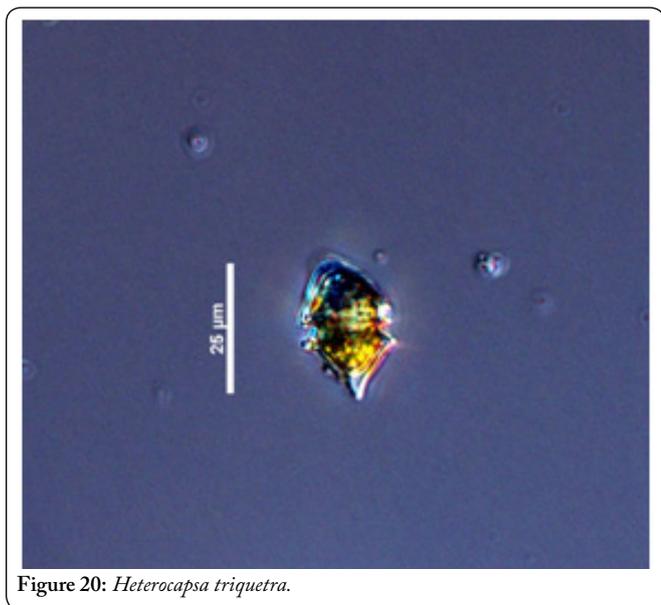
Exposure to *Brevetoxins* is all the more harmful the greater the contact time and the death of marine mammals is due to the ingestion of organisms that have accumulated high concentrations of *Brevetoxins* in their tissues. Humans are at risk, mainly through respiratory exposure which can result in a severe inflammatory response of bronchial mucous [51, 52]. Respiratory symptoms highlighted for exposure to marine aerosol containing *Brevetoxins* are coughing, involuntary sneezing, tearing, rhinorrhoea, burning sensation in the throat and nose, and breathing difficulties [53-56]. Various forms of *Brevetoxins* are known to have cytotoxic activities with DNA damage; they affect cell proliferation in a dose-dependent way, are genotoxic and cause cell death through an apoptotic mechanism.

Some experimental work has also shown that aerosol causes inflammation of the smooth bronchial musculature and broncho constriction even to animals that have been exposed [57, 58].

Dinoflagellates of the genus *Amphidiniolids carterae* contain a carotenoid, *Peridinin*, which forms a complex with chlorophyll that is responsible for the brown coloration of algal blooms. In fact *Peridinin* absorbs light at wavelengths between 470 -550 nm, of blue-green color and is able to transfer energy to chlorophyll molecule by giving it fluorescence [59]. *Peridinin*, like other carotenoid structure pigments act as sunscreen for both corals and algae with which they live in symbiosis.

The obtained fluorophore is very stable and finds different applications in immunological tests and flow cytometry for cell counting, determining cellular characteristics and their function, detection of microorganisms, diagnosis of pathologies such as blood tumors, etc.

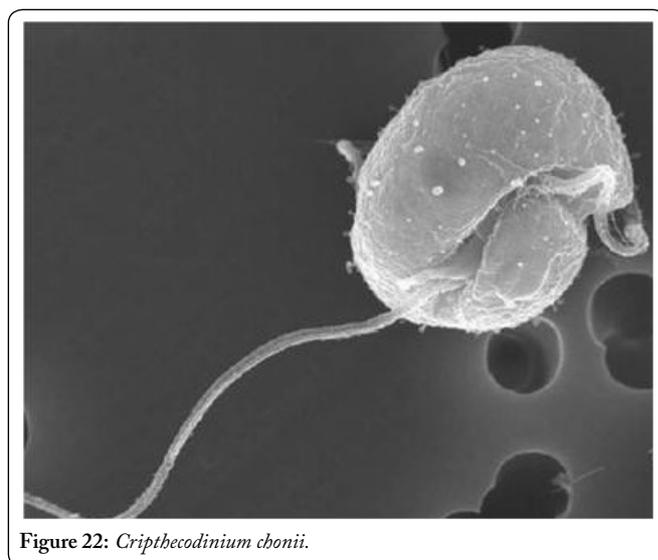
Peridinin being a carotenoid is equipped with antioxidant and anticancer activity as has been demonstrated in a study on the proliferation and survival of lines of T cells infected with the HTLV-1 leukemia virus. Results showed an inhibition of cell proliferation dependent on the dosage of *Peridinin* used. Sugawara et al., highlighted colon cancer cell apoptosis for treatment with *Peridinin* isolated from *Dinoflagellates*, *Heterocapsa triquetra* (Figure 20) [60, 61]. Photoactivated



porphyrines, pigments with a molecular structure similar to chlorophyll and hemoglobin, found in *Dinoflagellates* also showed antimicrobial activity [62, 63]. *Heterocapsa circularisquama* (Figure 21) is a *Dinoflagellate* toxic to bivalves but not to fish: it contains hemolytic porphyrin, which shows light-dependent cytotoxicity towards cancer cells. His antibacterial activity was also highlighted preferentially towards light-dependent gram+ [64].



therefore the extraction method is very long and complex. Particular attention should be used, then, to prevent oxidation phenomena that would not guarantee the purity of the final product [65]. During the production of docosahexaenoic acid this algae also produces polysaccharides, of which are known antioxidant, anti-inflammatory, antiadhesive, anti-coagulant, anti-cancer, anti-viral and immunomodulating properties [66-68]. Numerous studies on various cancer cell lines show that marine polysaccharides have high cytotoxicity and apoptogenic activities that can be considered a future alternative for the production of natural antitumor drugs compared to synthetic drugs.



Conclusions

Toxic algal blooms are a serious problem for all aquatic environments. They are not a new phenomenon, but they currently occur very frequently, and have taken on a strong expansion in Asian countries and America. The main cause is environmental degradation, but climatic change, the misuse of fertilisers and pesticides, industrial discharges, overcrowding, and engineering work also contribute [69, 70].

Dinoflagellates, responsible for algal blooms known as “Red Tides”, are unicellular microalgae that produce biotoxins that make seafood toxic, as well as being responsible for a high death of fish. The increase in algal blooms in recent decades requires greater surveillance of seawaters and the need to take appropriate action to study this phenomenon, in an attempt to avoid serious repercussions on the environment, the economy and, above all, on the health of men. There are no specific therapies against algal biotoxins because, being ionophores, they affect the transport of ions (sodium and potassium pumps) at the cellular level. Currently, the only intervention, if the intoxication is reported in a timely manner, is to resort to the elimination of toxic residues from the digestive system by gastric lavage or with activated charcoal dust. In more severe cases, when neurological symptoms are present and respiratory paralysis is feared, it is necessary to resort to intubation of the patient to subject him to mechanical ventilation [71-73].

In addition, detoxification methods are provided for health, especially in relation to mussels, before they are put on the market. The most commonly used method involves the transfer of toxic shellfish into waters free of toxic plankton, to allow self-purification, but it is a method that involves a long time; the transfer of shellfish is very tiring and expensive. Electric shocks or the use of chlorine reduce the duration of contamination, but one runs the risk of altering the sensory properties of the product, decreasing its appeal. The use of ozone has recently been proposed, which has been shown to be effective in preventing the accumulation of toxins by shellfish, without any alteration of them, but has shown no efficient action towards invertebrates species that accumulate cysts of microorganisms, or that bind toxins to their tissues for long periods of time. There is still no effective, rapid and universal method of detoxification for all shellfish and as the costs of such treatments are still high, monitoring areas exposed to algal blooms, mussels, is still high [74-76].

Dinoflagellates are often studied because they are related to harmful algal blooms but are also capable of producing bioactive compounds for the treatment of human pathologies. In addition to proteins, fatty acids, vitamins and pigments they contain bioactive compounds such as carotenoids, polysaccharides, vitamins, lipids and powerful neurotoxins that can be applied as drugs by showing activities, analgesic, anticancer, anti-cholesterol, cytotoxic, anti-infective, immunosuppressants or as nutraceuticals. As primary producers, these marine microalgae are also rich in eicosapentaenoic acid (EPA) and docosahexaenoic acid (DHA), polyunsaturated fatty acids known as omega-3 and are responsible for many human health benefits, particularly in reducing heart disease such as arrhythmia, stroke and hypertension, as well as acting on depression, rheumatoid arthritis and asthma [77-79]. Industrially they are added to infant milk formula or other foods, they are used as food additives for the presence of dyes, such as feed, even in aquaculture, pharmaceutical compounds, cosmetics and potentially as a source of biofuels [80-82].

Recent research has highlighted in *Amphidinolids carterae* the presence of enzymes of biotechnology interest such as polyketides synthase (PKS) which are both responsible for the synthesis of toxins and other polyketides with interesting ecological and biotechnological functions such as antiprifer, allelopathic, anti-cancer, antifungal activity and/or beneficial effects for the treatment of Alzheimer's disease [83].

L-asparaginase is a polyketide enzyme synthase that catalyzes the hydrolysis of L-asparagine into L-aspartic acid and is used to treat acute lymphoblastic leukemia, acute myeloid leukemia, non-Hodgkin's lymphoma; malignant cells having a reduced ability to produce asparagine synthase, they use the asparagine present in the blood. By limiting the supply of asparagine, the growth of cancer cells is inhibited [84]. This enzyme also has applications in the food industry to reduce acrylamide, a carcinogenic substance, in many foods: adding L-asparaginase to chips, biscuits, crispy bread is effectively reduced the formation of acrylamide [85].

The study of toxins, in addition to helping to reduce cases of food poisoning, can facilitate the research and development

of new drugs by studying the routes of action and receptors to which they claim. To ensure the safety of shellfish it is not sufficient to subject them to analysis to identify the nature and extent of contamination, but it is essential to monitor aquatic environments to identify the toxic algal species present and the risks to human health. Should not be neglected, then the analysis of Red Tide aerosols for the identification of toxins and microorganisms present in it, to assess the risks related to time and exposure dose for human health.

Conflict of Interest

The author declares she has no conflicts of interest.

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